

SWE

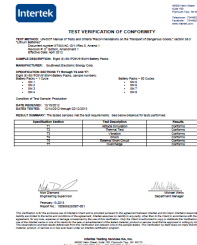
SOUTHWEST ELECTRONIC ENERGY GROUP

Advanced Battery Solutions

Underwater Intervention 2019:

Novel ABS Approved Pressure Tolerant Lithium Ion Battery Modules for MUVs

Leon Adams, VP

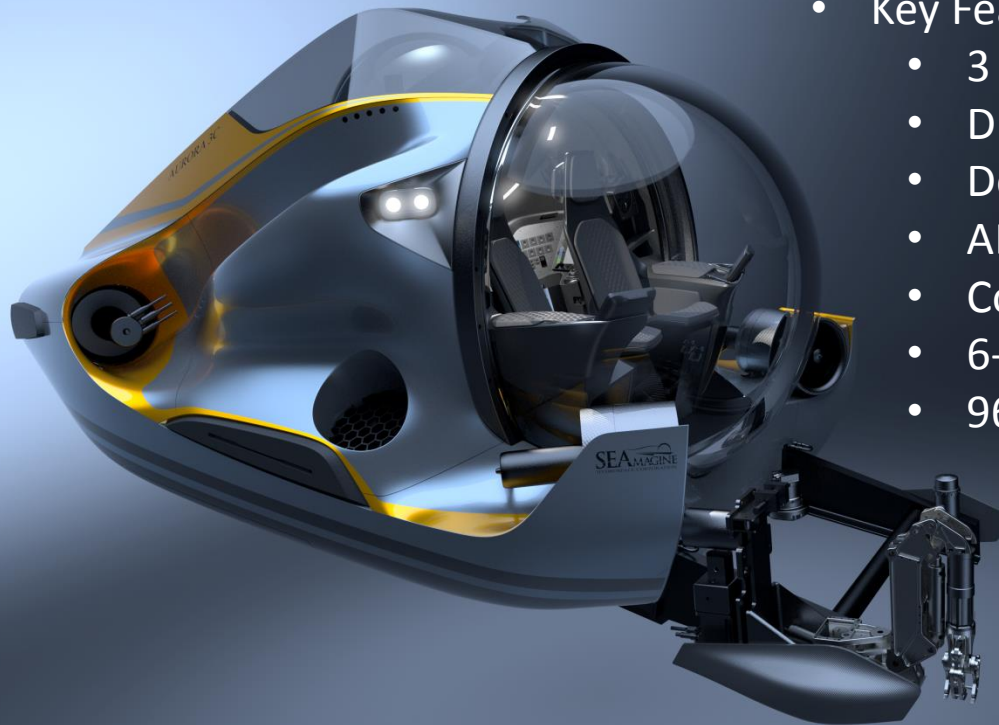


Agenda

- *MUV*
- Battery Module
- MUV Battery System using Battery Module
- ABS Type Approval- PDA of Battery Module
- Battery Module Tests
- Summary

SEAmagine Aurora 3C Submarine-MUV

Key Features



- Key Features
 - 3 Person 1ATM Submersible
 - Dry Weight of 3800 Kg
 - Depth Rating 457 m (1500 ft)
 - ABS Classed
 - Compact Size
 - 6-8 Hour Mission Time
 - 96 Hours of Reserves

SEAmagine Aurora 3C Submarine-HUV Battery System Requirements:



- 
- A 3D rendering of the Aurora 3C Submarine-HUV, a sleek, blue and yellow autonomous underwater vehicle with a transparent cockpit area. The vehicle is shown from a side profile, angled slightly upwards. The background is a dark blue gradient.
- Key Battery System Requirements
 - Pressure Tolerant to 3000M Depth
 - 240 Volts DC Power Bus
 - 40 AMPs Max Continuous Current
 - High Reliability
 - Easy to Use
 - Modular for Flexibility, Jettison Safety
 - ABS Type PDA approved
 - ISO Certified Manufacturer
 - Available, Reasonable Cost
 - Good Technical Support

Agenda

- MUV
- *Battery Module*
- MUV Battery System using Battery Module
- ABS Type Approval- PDA of Battery Module
- Battery Module Tests
- Summary

SWE

SOUTHWEST ELECTRONIC ENERGY GROUP

Advanced Battery Solutions

SUPERIOR POWER FOR SUBSEA APPLICATIONS

SWE SeaSafe II and SeaSafe Direct Smart Battery Modules



• HIGHER PERFORMANCE & REWARD

- 4X Longer Mission Run Time
- 6X Longer Battery Life Time
- 100% Condition Based Monitoring

• LOWER RISK

- ABS Certified & 2nd Generation Learned
- 6000 M Pressure Tolerant Tested
- Safety Tested and Patented

• EASE OF USE

- No Pressure Vessel Required
- Direct in Water Viable
- Simple Battery Sizing & Operation



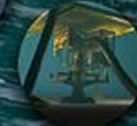
AUV



MUV



ROV



OIL &
GAS

SERVICE

QUALITY

RELIABILITY

281.240.4000 | seasafetech@swe.com

SeaSafe II - "Into a PBOF Case"

Easy to Integrate Smart Battery Modules

Lithium Ion Polymer with Patented, Integrated Battery Management System

Pressure Tolerant
6000 Meters Depth



Smart Module



| | | 30V | 24V |
|--------------------|-------------------------|-----------|----------|
| Voltage (V) | min | 26.4 | 23.1 |
| | NOMINAL | 29.6 | 25.9 |
| | max | 32.4 | 28.4 |
| Current (A) | Max Dschg (cont.) | 40 | 40 |
| | Max Dschg (30s pulse) | 45 | 45 |
| | Max Dschg (60 us pulse) | 90 | 90 |
| Capacity @ 90% SOC | Ah-Nom | 28 | 28 |
| | Wh-Nom | 829 | 725 |
| Dimensions (in) | H | 10.0 | 10.0 |
| | W | 3.2 | 3.2 |
| | L | 9.9 | 9.9 |
| Weight (lbs) | In Air | 20.9 | 20.9 |
| | In Sea Water | 9.7 | 9.7 |
| Temp Op. (Deg C) | Discharge | -20 to 60 | 20 to 60 |
| | Charge | 0 to 45 | 0 to 45 |

Patented Safety Protection BMS in Every Module Takes Worry Out, Keeps Safety and Performance In

SEASAFE II BMS



Charge Strain Prevention
• Autonomous charge control in each Module

Imbalance Avoidance
• 3 types of balancing, optimizes battery health

Reduces Aging Impact
• Cell short detection
• Metal dendrite prevention

Prevents Overheating
• Thermal control

Safety Back-Up
• Redundant Short Circuit Fuse Protection

SEASAFE II SMART MODULE

Safety Protection is Automatic, Continuous and Redundant

- ✓ Prevents unsafe operation
- ✓ Protects Modules from damage
- ✓ Prolongs Module life
- ✓ No operator intervention

Advanced SWE Safety Protection & Reliability Suite

Classic Li Ion Safety Features Now Configurable

Prevents Excessive Discharge/Cuts off on Short Circuit

No Charging Outside Safe Cell Temperature Spec

Prevents Excessive Charging/Overcharge

No Discharge Outside Safe Cell Temperature Spec

Over/Under Voltage Avoidance

SeaSafe Observer

SeaSafe II Battery State of Health, State of Charge, & More Status

- Read Post Mission or Run Time
 - RS485 Modbus
- Easy to Use Windows PC Graphical User Interface
 - Or customer line command driven comm
- For Information only.
 - Not needed for battery operation.

SECTION 9. SWE SEASAFE OBSERVER™ DASHBOARD

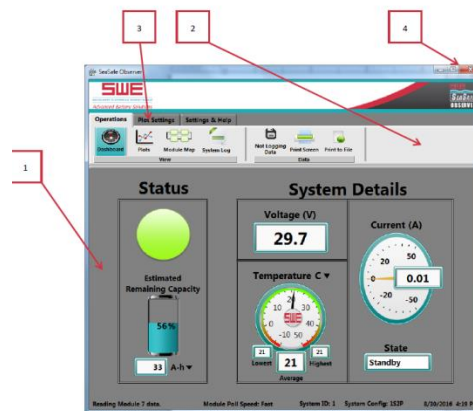


Figure 1 - SWE SeaSafe Observer™ Home Screen

1. Display Area: All graphs and charts will be displayed here once those functions have been selected.
2. Ribbon: Most of the functions for SWE SeaSafe Observer™ are controlled from the ribbon.
3. Ribbon Tabs: Various functions of the SWE SeaSafe Observer™ are on the other tabs shown and will be explained in detail later in the manual.
4. Window Functions: Minimize, Maximize and Close the Observer™ Window using these standard application buttons.

SECTION 4.3. MODULE MAP

The **Module Map** button will bring up a graphical representation of the system as defined by the system configuration in the config file. Additionally, this screen will display the overall system voltage, current, and capacity, as well as the average of all of the modules' temperatures in the system.

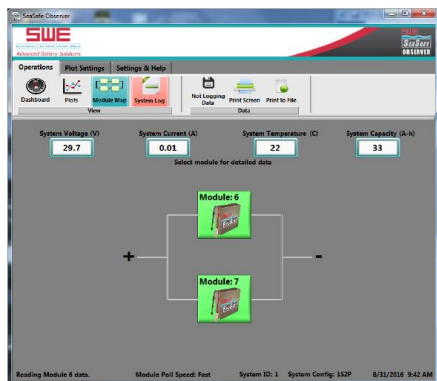


Figure 11 - Module Map

SECTION 4.2. PLOTS

The **Plots** button will show a real-time plot of the battery pack's Current, Voltage, Temperature, and Capacity. Each axis has its own color-coding, scaling, and individual chart markers to distinguish the plot lines on a screen if displayed or printed in black and white or grayscale.

Similar to the Temperature and Current displays on the Dashboard, you can click on any of the numbers listed on the different axes in order to re-scale them to your preferred liking.

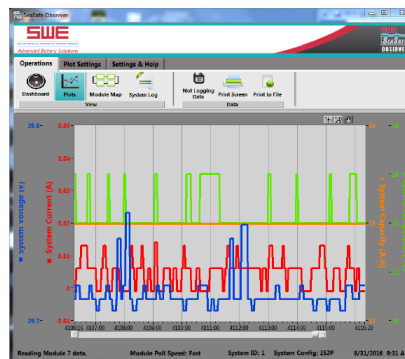
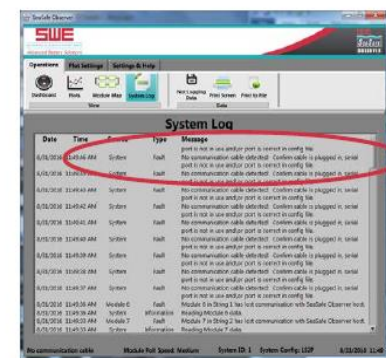


Figure 10 - Plots view

If a fault is detected, the **System Log** icon will turn red to bring your attention to this.

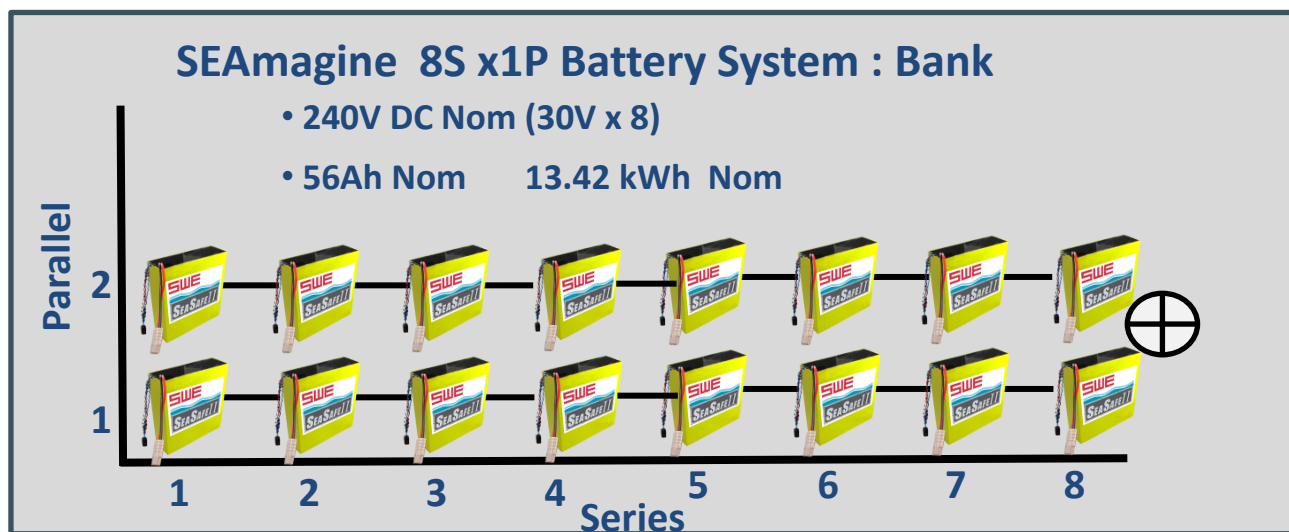


Agenda

- MUV
- Battery Module
- ***MUV Battery System using Battery Module***
- ABS Type Approval- PDA of Battery Module
- Battery Module Tests
- Summary

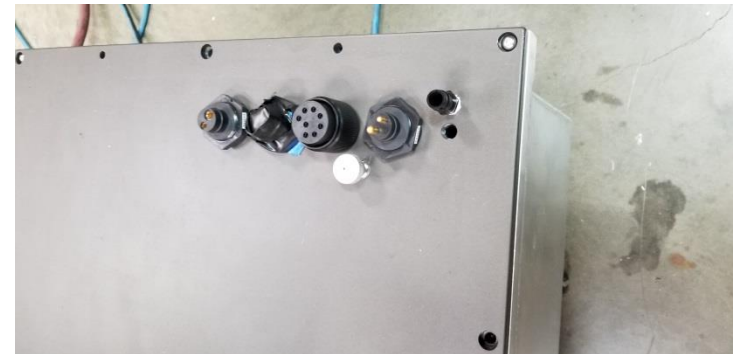
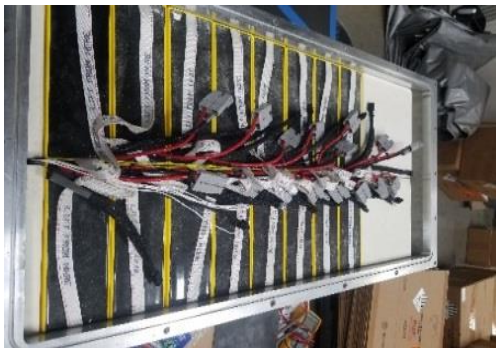
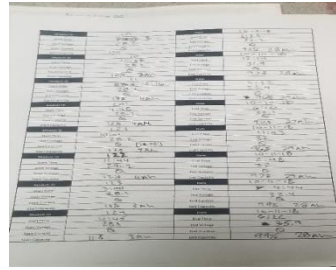
Configure Battery System by Connecting Battery Modules in:

- **Series Increment for Voltage (V) Strings**
 - SEAmagine = 8S X 30VDC Nom each = 240 VDC Nom
- **Parallel Strings for Capacity or Power(Ah or Amps)**
 - SEAmagine = 2P X 28 Ah Nom each = 56 Ah Nom
 - = 2P X 40 Amps Max X 0.8 = ~ 64 Amps Max Cont.
- **SEAmagine: 2 Parallel Battery Banks**
 - 240 V Nom; 26.8kWh Nom



Battery Bank

Using 8S x 2P SWE SeaSafe II 28V 28Ah Smart Battery Modules



Agenda

- MUV
- Battery Module
- MUV Battery System using Battery Module
- ***ABS Type Approval- PDA of Battery Module***
- Battery Module Tests
- Summary

SeaSafe II, SeaSafe Direct ABS Certified



CERTIFICATE NUMBER DATE
17-HS1687100-PDA 08 Dec 2017

ABS TECHNICAL OFFICE
Houston ESD - Electrical

CERTIFICATE OF DESIGN ASSESSMENT

This is to certify that a representative of this Bureau did, at the request of

SOUTHWEST ELECTRONIC ENERGY GROUP

assess design plans and data for the below listed product. This assessment is a representation by the Bureau as to the degree of compliance the design exhibits with applicable sections of the Rules. This assessment does not waive unit certification or classification procedures required by ABS Rules for products to be installed in ABS classed vessels or facilities. This certificate, by itself, does not reflect that the product is Type Approved. The scope and limitations of this assessment are detailed on the pages attached to this certificate.

Product: **Battery**

Model: **SeaSafe II, SeaSafe+Direct**

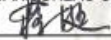
This Product Design Assessment (PDA) Certificate 17-HS1687100-PDA, dated 08/Dec/2017 remains valid until 07/Dec/2022 or until the Rules or specifications used in the assessment are revised (whichever occurs first).

This PDA is intended for a product to be installed on an ABS classed vessel, MODU or facility which is in existence or under contract for construction on the date of the ABS Rules or specifications used to evaluate the Product.

Use of the Product on an ABS classed vessel, MODU or facility which is contracted after the validity date of the ABS Rules and specifications used to evaluate the Product, will require re-evaluation of the PDA.

Use of the Product for non ABS classed vessels, MODUs or facilities is to be to an agreement between the manufacturer and intended client.

AMERICAN BUREAU OF SHIPPING



Ting Jiang
Engineer/Consultant

SWE SeaSafe II, SeaSafe Direct ABS Approved



MKH/av
Project – 3998543
Task-T1687100
Page 1 of 4

8 December 2017

Type Approval - Product Design Assessment
Lithium Ion Battery, Marine & Offshore Application
Models: SeaSafe II, SeaSafe+Direct (PDA Cert No: 17-
HS1687100-PDA)
Drawings and Documentation as per attached list

Southwest Electronic Energy Group (SWE)
823 Buffalo Run
Missouri City TX 77489

Attention: **Mr. Brett Levins**
Mr. Sridhar Sana

We have received your declaration stating that the materials in the requested assessment are free from Asbestos and your applications for Type Approval-Product Design Assessment dated 13 October 2017. In this regard we are pleased to advise that we have completed the product design assessment phase of the type approval process. Enclosed are your original copies of the **Certificate of Design Assessment (PDA)**. Your details are published on our web site at www.eagle.org and can also be downloaded there.

The PDA Models have been reviewed in accordance with the following ABS Rules:

- Rules for Building and Classing Steel Vessel Rules (SVR), 2017
- Rules for Building and Classing Steel Vessels under 90 meters (295 feet) in Length (Under90m SVR, 2017
- Rules for Building and Classing Offshore Support Vessel (OSV), 2017
- Rules for Building and Classing Mobile Offshore Drilling Unit (MODU), 2017
- ABS Guide for Use of Lithium Batteries in the Marine and Offshore Industries (Battery Guide), 2017

ABS Approval Review Completeness



MKH/av
Project - 3986201
Tasks-T1685463 & T1692966
Page 3 of 4




MKH/av
Project - 3986201
Tasks-T1685463 & T1692966
Page 4 of 4

Drawing List

| Engineering Office: | Houston ESD - Electrical | |
|--|--|--|
| Submitter: | SOUTHWEST ELECTRONIC ENERGY GROUP (415315) | |
| Drawing No | Revision No | Drawing Title |
| Correspondence | - | PO 0409302 |
| Correspondence | - | TA.APP |
| Correspondence | - | Correspondence |
| SSD 8S Verification Module 5 | 02 | Specification Seasafe Direct Modules |
| ABS PDA Submittal Overview | 01 | ABS PDA Package Seasafe Direct and Seasafe II |
| Z1287- | 04 | Design Failure Mode and Effects Analysis |
| DFMEA_5S_10S_BMS_Module | | |
| 5S-10S BMS Validation | 01 | Validation Test Result Report |
| SSD_8S_Validation_Module_4 | 00c | Validation Test Plan-Module#SS-04 |
| EQ13-XA05-01R0 | - | Transportation Certificate |
| BBCV2.MFH27732 | - | Lithium Batteries - Component |
| SSII 8S Validation Module 5 | 00a | Validation Test Plan-Module 5-8S |
| SSII_8S_Verification_Modules_1_2_5_6 | 02 | Specification Seasafe Modules |
| G100959525DET-001_SeaSafe_UNDOT38_3_Cert_Test_Report | - | UN 38.3 Battery Testing SS1P29V918WH Battery Packs |
| HS3390641 | - | ABS Sea Safe II Module |
| 50082358-001 | - | Secondary Cells |
| Kokam_SLPB72216216_MSDS | - | Material Safety Data Sheet |
| SeaSafe Battery Potting MSDS | - | Material Safety Data Sheet Section 1 |
| Z1266 | 02 | Design Specification 5-10S BMS Booster Board |
| Z1265 | 02 | Design Specification 5-10S BMS Host Board |
| Z1272 | 04 | Seasafe Direct Module |
| 46793028.3302 | - | Pre Assembly |
| Z1264 | 03 | Specification 5S-10S BMS Modules |
| Z1306 | 04 | Specification Seasafe Direct Modules |

| | | |
|---|----|---|
| Z1274 | 03 | Seasafe Direct Module, Standard |
| SeaSafe_Direct_Assembly_Procedure_Example | - | Connect PCA to Cell #1 |
| Z1337 | 02 | Seasafe II Module, Standard |
| Z1335 | 04 | Seasafe II Module |
| User's Manual | - | SeaSafe Communication Advanced Users Manual |
| Z1341 | 03 | Specification Seasafe Module |
| Battery Module User's Manual | - | SeaSafe II And SeaSafe Direct Users Manual |
| Basic User's Manual | - | SeaSafe_Communication_Basic_Users_Manual |
| SWE #46791828.3302 | - | SWE SeaSafe Direct Datasheets |
| SWE #46791828.3311 | - | SWE SeaSafe II 18V Battery Module Datasheet |
| Z1236 | 02 | Specification 5S-10S HCM Firmware |
| Z1236 | 01 | Specification 5S-10S HCM Firmware |
| 6 pages Data Sheet | - | Test Data Plots |
| Z1469 | 01 | IEC 62619:2017 Test Report SeaSafe Modules |
| Z1468 | 02 | ABS PDA Package for SeaSafe Direct and SeaSafe II Batteries |
| Z1386 | 02 | SWE SeaSafe ABS Test Plan and Report |
| SWE Test Reports | - | Test Reports per 4-9-8 Table1 |
| Z Data Sheet | - | Vibration in Z |
| X and Y Data Sheet | - | Vibration in X and Y |
| Cold Data Sheet | - | Cold |
| Dry Heat Data Sheet | - | Dry Heat |
| Damp Heat Data Sheet | - | Damp Heat |
| Correspondence | - | Enclosure Email dated 1 December 2017 |

| | | | |
|--|--------------------------------------|---------------|--|
|  <small>SOUTHWEST ELECTRONIC ENERGY GROUP</small> Advanced Battery Solutions | ABS PDA PACKAGE | | |
| | SEASAFE DIRECT AND SEASAFE II | | |
| Date: 11/08/17 | Document Number: Z1468 | Revision: 02 | |
| Author: B. Levins | Project: SeaSafe | Page: 1 of 10 | |

**50+ SWE Engineering, Test,
and Product Documents
Reviewed and Approved by ABS**

- **Component Design Failure Modes and Effects Analysis (DFMEAs).**
 - 1 DFMEA Document
- **Design Verification Test Plan report (DVTP) to verify the DFMEA.**
 - 2 BMS Verification Documents
 - 4 Battery Module Verification Documents
- **Battery component Risk Analysis document (such as FMEA, HAZID, etc.).**
 - 1 DFMEA Document
 - 3 BMS and SeaSafe Module Specification Documents
- **Test reports for the battery module and battery management system, in accordance with 4-9-8/Table 1, as applicable conducted at an independent testing facility and tests listed under 4-9-8/13.1 to be witnessed by an ABS Surveyor.**
 - 12 Test plan and test results documents.
- **Test reports for the battery cells in accordance with a recognized standard (eg. UL 1642 etc.) conducted at an independent & competent battery testing facility.**
 - 4 Battery Cell Test report and certification documents
- **Unit Certification test reports, in accordance with 4-9-8/Table 2 (witnessed by ABS Surveyor).**
 - 1 Document *HS3390641* provides the Unit Certification test report from the ABS surveyor.
- **Battery management system functional test report (witnessed by ABS Surveyor).**
 - 1 Document *HS3390641* provides the functional test report witnessed by the ABS surveyor (reference Sc. 5f)
- **Battery technical specifications and Material Safety Data Sheets.**
 - 2 Documents:: Battery MSDS Folder
 - 4 Documents:: BMS Folder
 - 5 Documents: SeaSafe Direct Folder
 - 3 Documents:: SeaSafe II Folder
 - 5 Documents: User Documentation Folder
- **Functional description of the different operating modes of the battery pack.**
 - 1 Documents : SeaSafe II and SeaSafe Direct User Manual
- **Control and monitoring system functional description and safety philosophy.**
 - 1 Documents : SeaSafe II and SeaSafe Direct User Manual
- **Appropriate service experience and/or history of the usage of the battery.**
 - 1 SeaSafe battery user experience report section.



**Multiple Tests Witnessed and
Approved by ABS**



SeaSafe II, SeaSafe Direct ABS Certified



TYPE APPROVAL DATABASE

< BACK TO RESULT

Company Name Details

Company Information

SOUTHWEST ELECTRONIC ENERGY GROUP
 823 BUFFALO RUN
 TX 77489
 United States
 Tel 281.240.4000
 Fax 281.240.6452
 Email: seasafe@swe.com
 Website: <https://www.swe.com/>

| Certificate Number | Category | Expiry Date |
|--------------------|----------|-------------|
|--------------------|----------|-------------|

| | |
|------------------|--|
| Product | Battery |
| Model | SeaSafe II, SeaSafe+Direct |
| Intended Service | Marine and Offshore Applications; Power Supply/Power Distribution |
| Description | SWE SeaSafe II and SeaSafe+Direct Smart Battery Modules are autonomous (self-sufficient). Each module consists of high performance Li-Polymer cells, a SWE Battery Management System (BMS) with safety protection and current path boosters, a thermally potted enclosure, and connectors for power and communications. |
| Ratings | <p>SeaSafe II: Voltage: 18 VDC, 22 VDC, 24 VDC, 30 VDC, 33 VDC & 37 VDC; Capacity: 28 Ah, 518 Wh (18 VDC), 622 Wh (22 VDC), 725 Wh (24 VDC), 829 Wh (30 VDC), 932 Wh (33 VDC), 1036 Wh (37 VDC), Operating Current: Discharge - 40A Max (Continuous), 45 A - Max (30 Second Pulse), 52.5 A - Max (30 ms Pulse), & 90 A - Max (60 s Pulse) Charge - 20 A - Max (Continuous), 9 A - Low Temp [0°C - 10°C] (Continuous) Ambient Temperature: 0°C to 45 °C (Charge), -20°C to 60°C (Discharge), -40°C to 60 °C (Storage); Batteries fully potted within flame-retardant urethane enclosure and designed for operation in oil-filled, pressure-compensated enclosure</p> <p>SeaSafe+Direct: Voltage: 18 VDC, 22 VDC, 24 VDC, 30 VDC, 33 VDC & 37 VDC; Capacity: 28 Ah, 518 Wh (18 VDC), 622 Wh (22 VDC), 725 Wh (24 VDC), 829 Wh (30 VDC), 932 Wh (33 VDC), 1036 Wh (37 VDC), Operating Current: Discharge - 10A Max (Continuous), 12 A - Max (30 Second Pulse), 31.5 A - Max (30 ms Pulse), & 54 A - Max (60 s Pulse) Charge - 10 A - Max (Continuous), 9 A - Low Temp [0°C - 10°C] (Continuous) Ambient Temperature: 0°C to 45 °C (Charge), -20°C to 60°C (Discharge), -40°C to 60 °C (Storage); Batteries fully potted within flame-retardant urethane enclosure designed for complete submersion in water</p> |

SWE SeaSafe II, SeaSafe Direct

UL, IEC, UN Approvals

National Standard



UL 1642:2012



International Standard

IEC 62619:2017;

IEC 61000-4-2:2008;

IEC 61000-4-3:2010;

IEC 61000-4-6:2013;

IEC 61000-4-4:2012;

CISPR 16-2:2016;

UN 38.3:6th Edition



IEC 62619:2017 Test

Safety Requirements for secondary lithium cells and batteries,
for use in industrial applications. Edition 1.0 2017-02



INTERNATIONAL
STANDARD
NORME
INTERNATIONALE

IEC 62619
Edition 1.0 2017-02



Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for secondary lithium cells and batteries, for use in industrial applications

Accumulateurs alcalins et autres accumulateurs à électrolyte non acide – Exigences de sécurité pour les accumulateurs au lithium pour utilisation dans des applications industrielles

| | | | |
|--|---|------------------------|---------------|
| SWE Advanced Battery Solutions | IEC 62619:2017 TEST REPORT SEASAFE MODULES | | |
| | Date: 10/30/17 | Document Number: Z1469 | Revision: 01 |
| | Author: B. Levins | Project: SeaSafe | Page: 1 of 21 |

FORCED THERMAL RUNAWAY
NO PROPOGATION

IEC 62619:2017 Test Report
for
SeaSafe Smart Battery Modules
(SeaSafe Direct, SeaSafe II)



Figure 2 - Battery Pack Sample

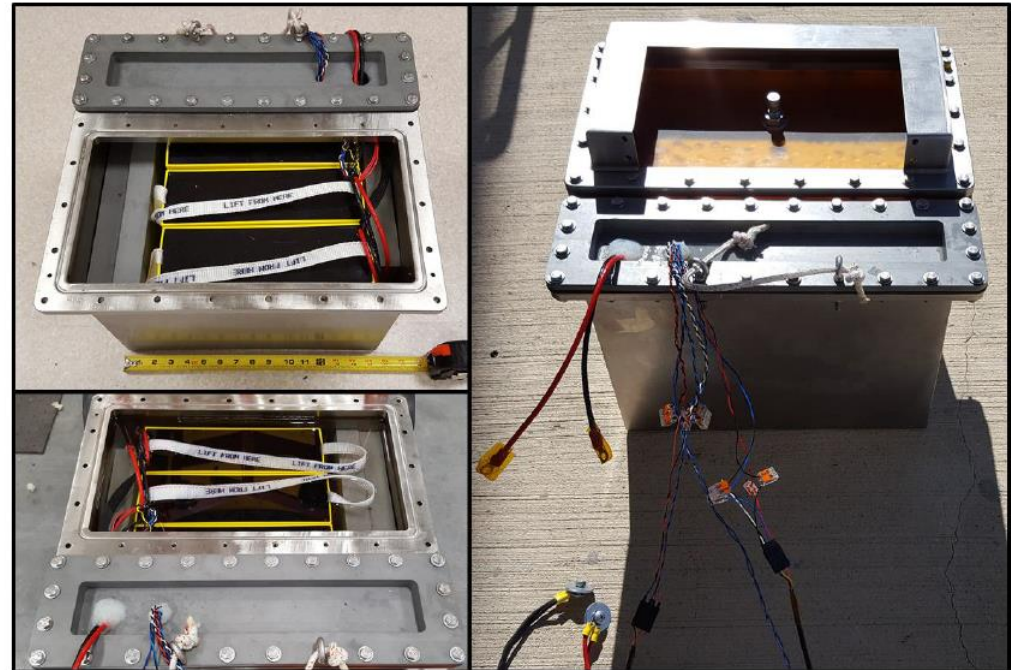


Figure 3 - Battery System Sample
(Top Left – Loaded in Enclosure, Bottom Left – Oil Filled, Right - Assembled)

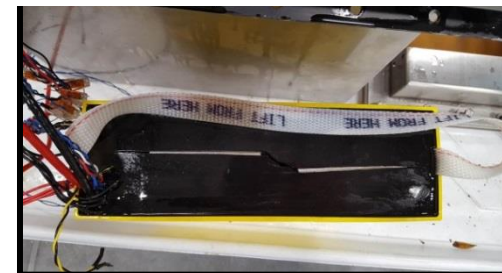
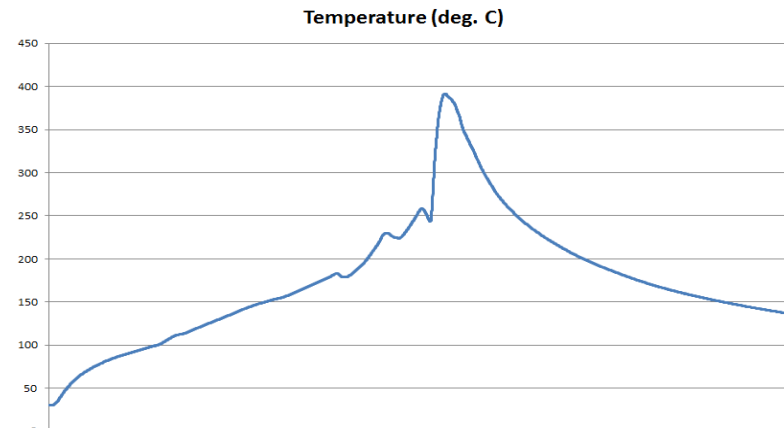
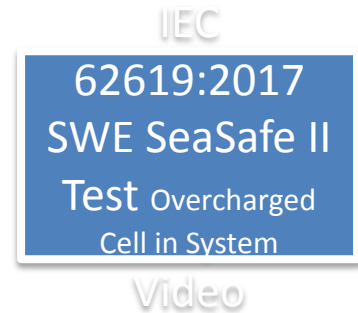
IEC 62619:2017 Test

SeaSafe II Module (in System)

Intentional Overcharged Cell (Wired outside of BMS)

Induced Thermal Run-Away: SHOW NO FLAME AND NO PROPOGATION

- A modified 7S, SeaSafe module was manufactured to allow direct overcharging of Cell 4, bypassing outside of the BMS control.
 - All cells were fully charged before test
- 3 SeaSafe modules were placed side-by-side (modified module in the middle), in oil, inside a stainless steel enclosure.
- Cell 4 on the modified module in middle was overcharged directly at 6 V near 150 Amps (bypassing BMS cutoff control).
- After 30 minutes into the intentional overcharge, the cell went into Thermal Runaway and the potting split as designed, which allowed the cell to vent into the oil. (~12 seconds into video)
 - **NO FLAME.**
 - The pressure of the vent pushed oil through the wire feed-through holes on the enclosure.
 - The holes were only filled with RTV for test case (no subsea connectors used.) Normally the vent valve on the enclosure (not installed) would have released the gas.
- The Two adjacent Battery Modules in the case did not show any damage.
 - **NO PROPOGATION of Thermal Runaway**
 - **Module to Module**
- **IEC 62619:2017 TEST PASSED BY SWE SEASAFE !**



Vented module with split potting

Agenda

- MUV
- Battery Module
- MUV Battery System using Battery Module
- ABS Type Approval- PDA of Battery Module
- ***Battery Module Tests***
- Summary



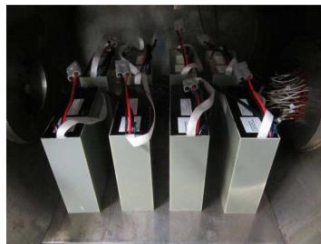
SeaSafe UN DOT 38.3 Testing and Certification

International Shipping Safety Certified - UN Manual of Test and Criteria Section 38.3

RESULT SUMMARY: The tested samples met the test requirements. See below breakout for tests performed.

| Specification Section | Test Description | Results |
|-----------------------|------------------------|----------|
| T1 | Altitude Simulation | Conforms |
| T2 | Thermal Test | Conforms |
| T3 | Vibration | Conforms |
| T4 | Shock | Conforms |
| T5 | External Short Circuit | Conforms |
| T7 | Overcharge | Conforms |

T1 – Altitude Simulation Test



T2 – Thermal Test



T1

T5 – External Short Circuit



T2

T5

Intertek

4800 Main Street
Suite 180
Plymouth Twp., MI 48170
Telephone: 734-882-2800
Facsimile: 734-882-2001
www.intertek.com

TEST VERIFICATION OF CONFORMITY

TEST METHOD: UN-DOT Manual of Tests and Criteria "Recommendations on the Transport of Dangerous Goods," section 38.3
 Yuhua Battery
 Document Number: 8762/AC/1011 (Rev. E, Amend 1)
 Section: P.2, Spora, Amendment 1
 Revision Date: April 2012

SAMPLE DESCRIPTION: Eight (8) 80-1P20V/918Wh Battery Packs
 MANUFACTURER: Southwest Electronic Energy Corp.

SPECIFICATION SECTIONS T1 through T6 and T7:
 Eight (8) 80-1P20V/918Wh Battery Packs, sample numbers:
 Battery Packs = 1 Cycle

| | |
|--------|--------|
| • SN 1 | • SN 5 |
| • SN 2 | • SN 6 |
| • SN 3 | • SN 7 |
| • SN 4 | • SN 8 |

Condition of Test Sample Production:
 DATE RECEIVED: 12/10/12
 DATES TESTED: 12/14/2012 through 02/13/2013

RESULT SUMMARY: The tested samples met the test requirements. See below breakout for tests performed.

| Specification Section | Test Description | Results |
|-----------------------|------------------------|----------|
| T1 | Altitude Simulation | Conforms |
| T2 | Thermal Test | Conforms |
| T3 | Vibration | Conforms |
| T4 | Shock | Conforms |
| T5 | External Short Circuit | Conforms |
| T7 | Overcharge | Conforms |

Nick Diamond
Engineering Supervisor
February 13, 2013
Report No.: 100891202DET-001

Michael Wells
Department Manager

This verification is for the exclusive use of Intertek's Client and is provided pursuant to the agreement between Intertek and its Client. Intertek's responsibility and liability are limited to the terms and conditions of the agreement. Intertek assumes no liability to any party other than the Client in accordance with the agreement. For any loss, expense or damage incurred by the use of this verification, only the Client is authorized to notify or sue Intertek. Any use of this verification outside of the scope of the agreement is prohibited. Intertek, Intertek.com, and Intertek logo are trademarks or registered trademarks of Intertek. All other trademarks and trade names are the property of their respective owners. This verification by itself does not imply that the material, product, or service is or has ever been under an Intertek verification program.

Intertek Testing Services NA, Inc.
4800 Main Street, Suite 180, Plymouth Twp., MI 48170
Telephone: 734-882-2800 *Fax: 734-882-2001 * www.intertek.com

T3 – Vibration Test



T3

T4 – Shock Test



T4

T7 – Overcharge



T7

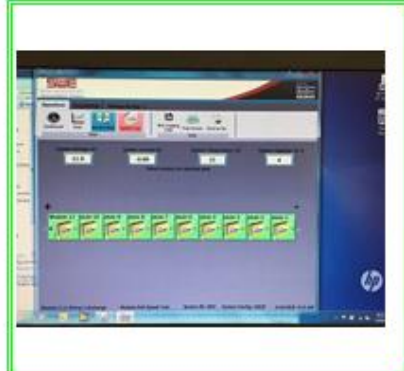
Extensive SeaSafe II Pressure Testing

- Exhaustive functional testing over 8 years
- Electronics nearing 5 Year Reliability Accelerated Life Testing at 10,000+ psi Pressure in SWE owned pressure vessel
- 200+ Battery Modules pressure tested over years of testing at Southwest Research Institute and WHOI
 - Shown: SeaSafe 316 stainless steel case with four SeaSafe battery modules being lowered into the 30 inch hyperbaric chamber at the Southwest Research Institute
 - 18 complete pressure cycles up to 10,000 psi and back down on a module while performing live charge and discharge cycles
 - 10,000 psi provides for 6000+ meter sea depth
- **ISO9001-2008 Quality Compliant Manufacturing**



SeaSafe Pressure Testing at SWRi

| | | |
|--|---|--|
|  | <p style="text-align: center;">load</p>  |  |
| <p>Pressure containment chamber</p> | <p>Batteries on tray support for insertion into pressure containment chamber</p> | <p>Batteries on tray support for insertion into pressure containment chamber</p> |
|  |  |  |
| <p>Batteries on tray support for insertion into pressure containment chamber</p> | <p>Batteries on tray support inserting into pressure containment chamber</p> | <p>Batteries on tray support inserting into pressure containment chamber</p> |
|  |  |  |
| <p>Power & COM test connections</p> | <p>Batteries on tray support inserted into pressure containment chamber</p> | <p>Pressure test Guage 20K psig</p> |



SeaSafe Direct Battery test screen



Pressure test Guage at 10K psig for 6 hours

SWE ISO9001-2015 Quality SeaSafe Manufacturing

SeaSafe II Process Flow

01/16/2019



IOC
 Instructions:
 - IAVEN011 Qual IncorMQ
 Training:
 - IPC-610
 - IPC-620
 - ASQ Drawin
 Data:
 - IAVEN011 Qual IncorMQ
FTEE:
 - CE17100200
 Tester
 - CE17092800
 Booster Tester



PCA Soldering
 Instructions:
 - Assembly Docs
 Training:
 - IPC-619 Class 3
 - Internal SWE
 - J-STD-001
 Data:
 - Solder Station ID
 - Solder Training
FTEE
 - FTTEE390 - ESD Work Station
 - FTTEE2200 - Solder Station - Low Temp
 - EQPT4180 - Ionizer Fan
 - FTTEE2070 - Low Temp Solder
 - FTTEE5420 - 610-15

PCA Cleaning
 Instructions:
 - Assembly Docs
 Training:
 - Internal SWE
FTEE
 - EQPT2610 - Ultrasonic Cleaner

Solder Inspection
 Instructions:
 - Assembly Docs
 Training:
 - IPC-610
 - IPC-620
 Data:
 - ETD5
 - Pass Fail
 - Inspector
FTEE

Potting Prep
 Instructions:
 - Assembly Docs
 Data:
 - ETD5
 - Barcode Scans
FTEE
 - FXTR10361 - POT MOLD
 - FTTEE3900 - Mold Release
 - FXTR11220 - ESD-DIODE Protection

Harness Check
 Instructions:
 - Assembly Docs
 Data:
 - ETD5
 - Script (voltages)
FTEE
 - Harness check FXTR



Pack Pre-Pot
 Instructions:
 - Assembly Docs
 Data:
 - ETD5
 - Ashby Ratio
 - Assembler
FTEE
 - FXTR11070
 potting fixture

Pack Config / Test
 Instructions:
 - Automated Script
 Training:
 -

Pack Potting
 Instructions:
 - Assembly Docs
 Training:
 -

Pack Test
 Instructions:
 - Automated Script

Chg/Dwg Cycle
 Instructions:
 - Chroma Script

Pack Lab
 Instructions:
 - Assembly Doc
FTEE
 - EQPT0600 - IMAJE

Pack Lab
 Data:
 - Cable Length
 - OCV
 - DMM ID
 - COMM IN Check
 - GOING-GO
 - Pack Weight
FTEE
 - Comms Fixture

Pack Lab
 Data:
 - Visual Manufacturing

Cell Prep
 Instructions:
 - Ashby Setup MDOO
 Training:
 - Ashby Training
 Data:
 - Ashby Ratio
FTEE
 - ISO Oil
 - FTTEE4100 Split Nozzle
 - Mixing Buffer 1
 - Mixing Buffer 2

Case Prep
 Instructions:
 - Assembly Docs
FTEE
 - FTTEE0710 - Black Max
 - FTTEE0500 - CA 1155

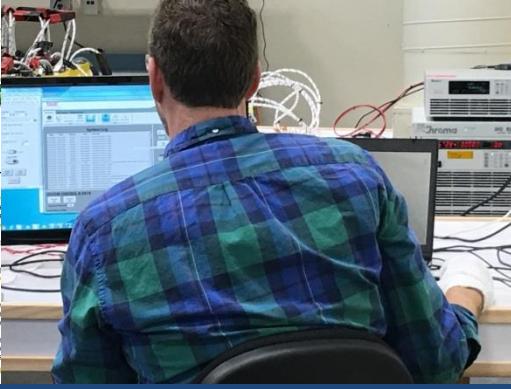
Cell Prep
 Instructions:
 - Assembly Docs
 Training:
 - Li Polymer Cell Handling
 Data:
 - ETD5
 - Hole Measurements
 - Cell Serial / Date Code
FTEE
 - FXTR0055 - Hole Punch
 - FTTEE3610 - Punch Guide
 - TO0590 - Hole E4140 - Paint ng Spray

Ashby TearDown
FTEE
 - FXTR0055 - Hole Punch
 - FTTEE3610 - Punch Guide
 - TO0590 - Hole E4140 - Paint ng Spray

Ashby TearDown
FTEE
 - FXTR0055 - Hole Punch
 - FTTEE3610 - Punch Guide
 - TO0590 - Hole E4140 - Paint ng Spray



ESD Sens Process
 Instructions:
 - QA-PLAN E
 Training:
 - ESD Training
 Data:
 - ETD5
 - Training Bur
 - Last Valid P
 - Grounding Ch
 - SWE/IM
 - All Personnel
 - Grounding Ch
 - Omnid A



Pack Test
 Instructions:
 - Automated Script
 Data:
 - ETD5
 - Labview Export
FTEE
 - Test Fixture

Times
 Assembler
 Prep
 Engineer
 Wait Time

Agenda

- MUV
- Battery Module
- MUV Battery System using Battery Module
- ABS Type Approval- PDA of Battery Module
- Battery Module Tests
- *Summary*



SWE named in top 100 of emerging technology companies by *Marine Technology Reporter*

Who is SWE?

Advanced Battery Solutions

Industrial, O&G

www.SWE.com

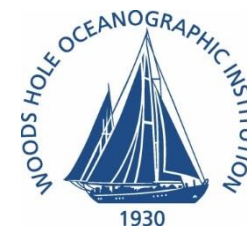


- Since 1964 - Quality supplier to Oil and Gas
- 25 years - Ruggedized Lithium battery experience
- 16 years - Lithium Ion battery experience
- 10 patents - Li Ion Battery Management System
- Over 70,000 sq ft - Battery systems R&D and ISO 9001/2008 certified manufacturing
- 300+ customers including many top Oil & Gas Service, Drilling, and Production Companies
- Focus on Service, Quality, and Reliability



SWE Corporate Headquarters
Houston, Texas

Example SWE Customers





SOUTHWEST ELECTRONIC ENERGY GROUP

Advanced Battery Solutions

Thank You!

Come See us Booth 428 at UI2019

Underwater Intervention 2019:

Novel ABS Approved Pressure Tolerant Lithium Ion Battery Modules for MUVs

Leon Adams, VP



SWE
SOUTHWEST ELECTRONIC ENERGY GROUP
Advanced Battery Solutions

SUPERIOR POWER FOR SUBSEA APPLICATIONS
SWE SeaSafe II and SeaSafe Direct Smart Battery Modules

- HIGHER PERFORMANCE & REWARD**
 - 4X Longer Mission Run Time
 - 6X Longer Battery Life Time
 - 100% Condition Based Monitoring
- LOWER RISK**
 - ABS Certified & 2nd Generation Learned
 - 6900 M Pressure Tolerant Tested
 - Safety Tested and Potentiated
- EASE OF USE**
 - No Pressure Vessel Required
 - Direct in Water Movable
 - Simple Battery Sizing & Operation

Pressure Balanced Oil-Filled 30V 28Ah
Direct in Water 30V 28Ah

AUV MUV ROV OIL & GAS

SERVICE QUALITY RELIABILITY
281.240.4000 | seasafetech@swe.com